SEP 22 2003 E

CERTIFICATE OF MAILING

hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September _______, 2003.

Attorney for Applicant(s)

PATENT APPLICATION
Docket No.: DE920000124US1

	IN THE UNITED STATES PATENT AND TRADEMAR	RECEIVED
Applicant(s)	: Christian Bolik, Peter Gemsjaeger, and Klaus Schroiff	SEP 2 4 2003
Serial No.:	10/051,825	Technology Center 2100
Filing Date:	December 10, 2001) Group Art) Unit: 2152
For:	METHOD AND SYSTEM FOR SCALABLE, HIGH PERFORMANCE HIERARCHICAL STORAGE MANAGEMENT))
	SUPPLEMENTAL INFORMATION DISCLOSURE STA	TEMENT Ç

Commissioner for Patents P.O. BOX 1450 Alexandria, VA 22313-1450

Sir:

į.

This Information Disclosure Statement discloses information which has come to the attention of applicant and his attorneys and is being submitted so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56. In accordance with 37 C.F.R. § 1.97(b), this Statement is being filed within three (3) months of the filing date of the above-identified application or before the mailing date of a first Action on the merits.

Neither applicant nor his attorneys make any representation that any information disclosed herein may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103. Moreover, pursuant to 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement

2152

shall not be construed as a representation that a search has been made or as an admission that the

information cited herein is, or is considered to be, material to patentability as defined in 37

C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.98, this Information Disclosure Statement includes and

is accompanied by:

1. A completed copy of Form PTO-1449 listing the patents, publications and other

information being submitted for consideration; and

2. A legible copy of each patent, publication and other item of information in written

form listed on the enclosed Form PTO-1449.

NON-ENGLISH INFORMATION

Pursuant to 37 C.F.R. § 1.98, following is a concise explanation of the relevance (as it is

presently understood by the individual designated in 37 C.F.R. § 1.56(c) most knowledgeable

about the content of the information), of each listed patent, publication or other information that

is not in the English language. Respectfully submitted,

Reg. No. 38,527

Attorney for Applicant

Date: September 16, 2003

Brian C. Kunzler

10 West 100 South, Suite 450

Salt Lake City, Utah 84101

Telephone: 801/994-4646

2

RECEIVED

SEP 2 4 2003

Technology Center 2100

Page 1 of 1

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION

DISCLOSURE STATEMENT

(use several sheets if necessary)

SERIAL NO.	ATTORNEY DOCKET NO.
10/015,825	DE920000124US1
FILING DATE	GROUP ART UNIT
September 16, 2003	2152
APPLICANT(S): Christian Bolik, Peter Gemsja	aeger, and Klaus Schroiff

REFERENCE DESIGNATION

ORM PTO-1449

U.S. PATENT DOCUMENTS

EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,991,753	11/23/1999	Wilde	707/2	06/14/1995
	A2	6,108,748	08/22/2000	Ofek et al.	711/112	02/28/1997

FOREIGN PATENT DOCUMENTS

	EXAMINE		DOCUMENT			CLASS/	TRANS	LATION
Ì	INITIAL		NUMBER	DATE	COUNTRY	SUBCLASS	YES	NO
		A3	0 645 709 A2	09/14/1994	European	G06F 11/14		

NON-PATENT DOCUMENTS

EXAMINE R INITIAL	DOCUMENT (Including Author, Title, Source, and Pertinent Pages
	ΞCH _N

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).